# PATENT COOPERATION TREATY

# **PCT**

# Translation INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference  C10123PCT		FOR FURTHER ACTION	See Form PCT/IPEA/416	
Internation	onal application No.	International filing date (day/mont)	h/year) Priority date (day/month/year)	
		23.07.2004	23.07.2003	
Internation	onal Patent Classification (IPC) or nat	ional classification and IPC		
	01/16			
<del></del>				
Applican	nt		<del></del>	
CFS	KEMPTEN GMBH			
	min a series de la laterrational mali	· · · · · · · · · · · · · · · · · · ·	1 1 - this International Dealiminant Evamining Authority	
1.	under Article 35 and transmitted to the	he applicant according to Article 36.	ned by this International Preliminary Examining Authority	
2.	This REPORT consists of a total of	shee	ets, including this cover sheet.	
3.	This report is also accompanied by A	ANNEXES, comprising:		
	a. (sent to the applicant and	d to the International Bureau) a total	of 3 sheets, as follows:	
			have been amended and are the basis for this report and/or ority (see Rule 70.16 and Section 607 of the Administrative	
		sede earlier sheets, but which this A	uthority considers contain an amendment that goes beyond	
			as indicated in item 4 of Box No. I and the Supplemental	
		l Rureau only) a total of (indicate type	e and number of electronic carrier(s))	
	U (S0130 00 000 100 100 100 100 100 100 100	Dureum viery) w www		
	related thereto, in compute	~ modeble form only as indicated in	, containing a sequence listing and/or tables n the Supplemental Box Relating to Sequence Listing (see	
	Section 802 of the Adminis		I the Supplemental Don Marian Des 2012-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1	
4.	This report contains indications relat	ting to the following items:		
	Box No. I Basis of th	e report		
	Box No. II Priority			
	Box No. III Non-estable	lishment of opinion with regard to no	velty, inventive step and industrial applicability	
	Box No. IV Lack of un	nity of invention		
	Box No. V  Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
		ocuments cited		
		fects in the international application		
	Box No. VIII Certain observations on the international application			
Date or s	submission of the demand	Date of com	apletion of this report	
	· · · · · · · · · · · · · · · · · · ·	Authorizad		
Name an	nd mailing address of the IPEA/EP	Authorized	oincer	
Facsimile	la No	Telephone 1	Vo.	

International application No.

PCT/EP2004/008240

Box No. I	Basis of the report				
	. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.				
	This report is based on translations from the original language into the following language, which is the language of a translation furnished for the purposes of:				
	international search (Rule 12.3 and 23.1(b))				
	publication of the international application (Rule 12.4)				
	international preliminary examination (Rule 55.2 and/o				
2. With rega receiving this report	rd to the elements of the international application, this is Office in response to an invitation under Article 14 are:  ):	report is based on (replacement sleer referred to in this report as "or	heets which have been furnished to the iginally filed" and are not annexed to		
the i	nternational application as originally filed/furnished				
the o	description:				
page	es <u>1-7</u>		as originally filed/furnished		
page	es*	received by this Authority on	·		
pag	cs*	received by this Authority on			
the	claims:				
nos.			as originally filed/furnished		
nos.	*	as amended (together	with any statement) under Article 19 23.05.2005 with		
nos	* 1-17	received by this Authority on			
nos	*	received by this Authority on			
the	drawings:				
she	ets 1/3-3/3		as originally filed/furnished		
she	ets*	received by this Authority on			
she	ets*				
a se	quence listing and/or any related table(s) – see Supplem				
	amendments have resulted in the cancellation of:				
	the description, pages				
	the claims, nos.				
	the drawings, sheets/figs				
	the sequence listing (specify):				
	any table(s) related to sequence listing (specify):				
4. The	s report has been established as if (some of) the amend y have been considered to go beyond the disclosure as fi	iments annexed to this report and	l listed below had not been made, since		
	the description, pages	<u>-</u>			
	the claims, nos.				
	the drawings, sheets/figs				
	the sequence listing (specify):				
	any table(s) related to sequence listing (specify):	<del>-</del>			
* If item 4	applies, some or all of those sheets may be marked "sup				

International application No.
PCT/EP2004/008240

			inder Article 35(2) with regard to novelty, inventive step or industrial applicability; tions supporting such statement	
1.	Statement			
	Novelty (	N)	Claims 1-17	YES
			Claims	_ NO
	Inventive	step (IS)	Claims	YES
		•	Claims 1-17	_ NO
	Industrial	applicability (IA)	Claims 1-17	YES
			Claims	_ NO
2.	Citations and	explanations (Rule 70.	7)	
	1 This report makes reference to the following			
		documents:		
		D1: US 5 9	84 766 A	
		D2: EP-A-1	040898	
		D3: DE-A-3	433008	
		D4: EP-A-1	1197304	
		D5: DE-C-3	62714	
		D6: DE-B-1	193652	
		D7: DE-A-3	713536	
	2	The present	t application fails to meet the	
		requiremen	ts of PCT Article 33(1) because the	
		subject ma	tter of claim 1 does not involve an	
		inventive	step within the meaning of PCT Article	
!   		33(3).		
			is considered to be the closest prior	
			oses (see column 2, lines 43-45) a	
			m which the subject matter of claim 1	
			that "the blade is mounted along an	
			is substantially parallel to the drive	
		shaft".		

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

2.1 The cutting head of the present invention is preferably tilted about an angle (see description, page 3, sixth paragraph), and thus forces are exerted on the support (3) of the device according to the invention which are similar to the forces that are exerted on the support (30) in the device of D1. The features of claim 1 and, in particular, the feature "parallel to the drive shaft" per se therefore do not solve the problem of reducing the forces acting on the support (3).

Furthermore, the modifications of the components of the device in D1 which need to be made in order for strong forces to be withstood at higher speeds are obvious to a person skilled in the art.

The only problem mentioned in the application 2.2 which could have been solved by some of the features of claim 1, in particular, by a shaft that is connected to the housing in a rotationally rigid manner, is provided on page 4, last line to page 5, line 10, of the description; however, more features are needed in order to solve this problem (inter alia a support provided within a hub). Since these features cannot be found in claim 1 and the original documents do not provide any details for the feature "parallel to the drive shaft", a person skilled in the art would regard this feature as an obvious, known solution to the also obvious problem of how to make a straight cut (see, for example, D4, D7).

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Furthermore, the application fails to meet the requirements of PCT Article 6 because claim 2 is not clear.

The phrase "in the region of" is vague and unclear and leaves the reader uncertain as to the meaning of the technical feature in question. As a result, the subject matter of said claim is not clearly defined (PCT Article 6).

Claim 3 is also not clear for similar reasons.

3.2 Furthermore, claim 3 is unclear (PCT Article 6) because the components that make up the blade (1) are undefined. Since the axial position of the center of gravity changes when the blade has, for example, a shaft, a hub or another component (see, for example, D3, page 10, lines 24-26), it would not be possible for a person skilled in the art to make a meaningful comparison to the prior art.

Claim 4 is unclear for similar reasons, i.e. because the components that make up the housing (9) are undefined.

3.3 Irrespective of the aforementioned lack of clarity and to the extent that dependent claims 2 to 17 can be interpreted, the features of claim 1 do not involve an inventive step within the meaning of PCT Article 33(3). The reasons for this are the following.

International application No.
PCT/EP2004/008240

	PCT/EP2004/008240			
Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
	Dependent claims 2 to 17 do not contain any			
	features which, in combination with the features			
	of any claim to which they refer, meet the PCT			
	requirements for novelty and inventive step (see			
	D1 to D7 and the corresponding passages cited in			
	the search report).			

International application No.
PCT/EP2004/008240

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

### Box I

## Basis of the report

1 With regard to PCT Article 34(b)

The following amendments were not taken into account in the establishment of the international preliminary report:

the replacement of the phrase "center of gravity" by the phrase "plane of gravity" (claim 2);

the deletion of the phrase "in the region of" in claims 2 and 3;

because there is no basis for these amendments in the original documents. In particular, the original documents do not directly state that the blade is mounted precisely in the center of gravity or in the cutting plane.